From:
 David Glenn Phillips

 To:
 Cendrosky, Sharlee

 Cc:
 (b) (6), (b) (7)(C), (b) (7)(D)

Subject: RE: NLRB Case 08-CA-237257 Case Western Reserve University- Division of Public Safety (b) (6), (b) (7)(c), (b) (7)(d)

Date: Friday, March 8, 2019 10:03:13 AM

Ms. Cendrosky,

March 12th is the only day I'm available. I'll confirm with (b) (6), (b) (7)(C), (b) (7)(D) and let you know.

David Glenn Phillips
Attorney at Law
The Brown Hoist Building
4403 St. Clair Avenue
Cleveland, Ohio 44103
(216) 531-0123
fax 216-881-3928
d.g.phillips@sbcglobal.net
civilrightslaw@sbcglobal.net

From: Cendrosky, Sharlee [mailto:Sharlee.Cendrosky@nlrb.gov]

Sent: Friday, March 08, 2019 6:48 AM

To: d.g.phillips@sbcglobal.net

BB Case 08-CA-237257 Case Western Reserve University- Division of Public Safety

(b) (6), (b) (7)(C), (b) (7

David Glenn,

I am the attorney assigned to investigate the charge you filed against Case Western Reserve University- Division of Public Safety in Case 08-CA-237257 alleging that (b) (6), (b) (7)(C), (b) (7)(D) was suspended in violation of Section 8(a)(3) of the Act.

I am available for an appointment on March 11th, 12th and 19th. Our office is located in Downtown Cleveland in the Federal Building. I anticipate that the appointment will take 2-3 hours. We could start as early as 9:00 am or as late as 12:00 pm on any of

these days. Please let me know which date works for you and 6,(6)(7)(6),(6)(7)(6)

Thanks,

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892

Facsimile: 216-522-2418

From: Cendrosky, Sharlee
To: David Glenn Phillips

Subject: RE: NLRB Case 08-CA-237257 Case Western Reserve University- Division of Public Safety (b) (6), (b) (7)(c), (b) (7)(c)

Date: Friday, March 8, 2019 11:38:00 AM

Great. Make sure (b) (6), (b) (7)(C), (b) (7)(D) brings a State I.D. to enter the Federal Building. See you then.

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: David Glenn Phillips <d.g.phillips@sbcglobal.net>

Sent: Friday, March 8, 2019 10:55 AM

To: Cendrosky, Sharlee <Sharlee.Cendrosky@nlrb.gov>

Cc: (b) (6), (b) (7)(C), (b) (7)(D)

Subject: RE: NLRB Case 08-CA-237257 Case Western Reserve University- Division of Public Safety

(b) (6), (b) (7)(C), (b) (7)(D)

Ms. Cendrosky,

Let's plan this for Tuesday March 12, 2019 at 11:30 a.m.

David Glenn Phillips
Attorney at Law
The Brown Hoist Building
4403 St. Clair Avenue
Cleveland, Ohio 44103
(216) 531-0123
fax 216-881-3928
d.g.phillips@sbcglobal.net
civilrightslaw@sbcglobal.net

From: Cendrosky, Sharlee [mailto:Sharlee.Cendrosky@nlrb.gov]

Sent: Friday, March 08, 2019 6:48 AM

To: d.q.phillips@sbcqlobal.net

Subject: NLRB Case 08-CA-237257 Case Western Reserve University- Division of Public Safety



David Glenn,

I am the attorney assigned to investigate the charge you filed against Case Western Reserve University- Division of Public Safety in Case 08-CA-237257 alleging that (b) (6), (b) (7)(C), (b) (7)(D) was suspended in violation of Section 8(a)(3) of the Act.

We need to schedule an appointment for me to take evidence from During this appointment I will take an affidavit from and any other documents that you might have relating to the suspension/issue. If bargaining unit member, I will need a copy of the current collective bargaining agreement as well as a copy of any grievance that was filed on behalf.

I am available for an appointment on March 11th, 12th and 19th. Our office is located in Downtown Cleveland in the Federal Building. I anticipate that the appointment will take 2-3 hours. We could start as early as 9:00 am or as late as 12:00 pm on any of these days. Please let me know which date works for you and [0](6),(0)(7)(0),(0)(7)(0)

Thanks,

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892

Facsimile: 216-522-2418





May 25, 2018

Baioni Corral, LLP 1497 E. 361st Street, Ste 3 Eastlake, OH 44095

Dear Kimberly Kendali Corral, Esq.;

Thank you for the opportunity to speak today regarding your client, (b) (6), (b) (7)(C) Based upon our conversation, we mutually agreed to resolve this matter regarding the Trespass Warning Notification' ($\frac{1}{4}$ (b) (6), (b) (7)(C)) that was issued to your client (and (b) (6), (b) (7)(C)), on [10] (2018. This letter is to confirm our agreement that I will rescind and nullify this document in part and whole.

The action to rescind and nullify the 'Trespass Warning Notification' is a voluntary action by Notre Dame College Police Department, and performed in good faith to amicably resolve this matter. This voluntary action does not constitute, nor is it an admission of wrong doing, whether in part or whole, by Notre Dame College Police Department.

Also, based upon our conversation with respect to your public records request, you are now seeking only those records stated in line #4, and that all other documents, pursuant to that request, namely lines #1, #2, #3 and #5, are no longer requested. These document(s) will be sent to you in a timely manner, in compliance with Ohio Revised Code 149.43(B).



Cc: (b) (6), (b) (7)(C) Case Western Reserve University Police Department

TRESPASS WARNING NOTIFICATION

Notre Dame College Police Dept. 4545 College Road $_{\underline{0}}$ (b) (6), (b) (7)(C)

South Euclid, OH 44121 Dispatch: (216)373-5212

(b	Name) (6), (b) (7	')(C)	Middle	(b)) (6), ((b) (7)(C)
Addre		spo	POLI	City (العن	State OLA
Driver	's License N	0.	State		Type Lic	cense
Sex	Weight	Height	Hair	Eyes	Origin	Birth Date
	and Time of) , (b) (7)(C)	Violation Ç			CASE #	
Location 45	on 45 G	عملا	65 R	o		NOC

ORDER AND WRITTEN NOTIFICATION STATEMENT

You are hereby being served, by this written notice, and are hereby officially served that you, the above listed person, are not permitted to be on any Notre Dame College property (owned or controlled), or within any building, structure or premises thereupon, or location controlled by Notre Dame College, whatsoever, effective immediately, for any reason.

Ohio Revise Code 2911.21(A)(4) states:

- (A) No person, without privilege to do so, shall do any of the following:
- (4) Being on the land or premises of another, negligently fail or refuse to leave upon being notified by signage posted in a conspicuous place or otherwise being notified to do so by the owner or occupant, or the agency or servant of either.

If you violate this order or notice hereinafter, you will be arrested and incarcerated by Notre Dame College Police or by any other law enforcement agency having jurisdiction, and you will be subject to criminal charges and penalties for this and/or any other criminal action(s) you commit while in violation of this order.

DATE OF NOTIFICATION

TIME OF SERVICE

(b) (6), (b) (7)(C)

From:

(b) (6), (b) (7)(C)

Sent:

Wednesday, May 02, 2018 5:28 PM (b) (6), (b) (7)(C)

To:

Cc:

(b) (6), (b) (7)(C)(b) (6), (b) (7)(C)

Subject: Attachments: Incident involving officers - statements and TWN notices SKM_36818050214060.pdf; SKM_36818050215380.pdf; SKM_36818050215390.pdf

Importance:

High

(b) (6), (b) (7)(C)

First, thank you for your time to discuss the matter involving your officers: (b) (6), (b) (7)(C) and (b) (6), (b) (7)(C)

After interviews and discussions regarding the matter, the actions of both officers were determined to be unbecoming a police officer(s) and a jeopardy to the [0,(0,0),(7),(0]] conducted by (b) (6), (b) (7)(C)] at Notre Dame College, as well as in bad taste for entering a bar establishment (to wit: Bar Louie in Legacy Mall) while in full duty uniform, while they were. expected to be with the group at California Pizza Kitchen. (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) was able to retrieve them from the bar and get them back with the group. Again, while it may not be a direct violation policy (as that is what they believe to be the case), as we discussed, the actions perception alone could and did reflect negatively upon my agency and (b) (6), (b) (7)(C) while they were (b) (6), (b) (7)(C) here.

The actions of the officers (the potentially racially insensitive statement) was a gross violation of college policy.

As a result, both officer $\binom{(b)(6),(b)(7)(C)}{(b)}$ and $\binom{(b)}{(b)}$, $\binom{(b)}{(b)}$, $\binom{(b)}{(b)}$ are banned from Notre Dame College property for period of 5 years from this date as result of their actions. They will not be permitted to enter the campus nor will they be permitted to participate in any training my agency conducts, supports or hosts during this same time span (see attached Trespass Warning Notices and please pass these along to them for their reference). They will be permitted to petition my office for a waiver and reconsideration within 2 years of this date, should they desire to come back for training we may be offering. This will be handled on a case by case basis, with your direct consolation.

Thank you for your prompt attention to this matter and your understanding. We do look forward to working with you and your agency and certainly welcome your officers to come and participate in training at NDC. You and your agency have always been good partners and I am sorry this situation, while isolated, occurred, but glad we can move past this and continue our mutually beneficial relationship.

If you need anything additional, please do not hesitate. All records pertaining to this matter have been placed in the training file for this individual program.

on this email. I have cc'd (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(6), (b) (*1*

Notre Dame College Police Department 4545 College Road

South Euclid, OH 44121







Desk Phone: (b) (6), (b) (7)(C)

Cell: (b) (6), (b) (7)(C)
Email: (b) (6), (b) (7)(6) @ndc.edu

Web: http://www.notredamecollege.edu/resources-and-services/campus-safety-and-security

Facebook: https://www.facebook.com/#!/NotreDameCollegePD

CAUTION: FOR OFFICIAL USE ONLY - LAW ENFORCEMENT SENSITIVE: This message may contain law enforcement sensitive information. Do not disseminate, which includes forwarding the contents of this message, without the approval of the sender. If you are not the intended addressee, or the person responsible for delivering it to the intended addressee, you may not copy or deliver it to anyone else or use it in any unauthorized manner. To do so is prohibited and may be unlawful. If you receive this email by mistake, please advise the sender immediately by using the reply function in your e-mail software and delete the email immediately from your server.

Events of Sunday morning:

- We were approached by (b) (6). (b) (7)(C) first thing, and asked what we were doing at the other restaurant (during our unpaid lunch hour on the (b) (6). (b) (7)(C). We responded that we were getting food. (b) (6). (b) (7)(C) stated, "I have to ask: were you drinking?" I responded, "Absolutely not." I stated that we had only just sat down, were served waters, and had placed an order for burgers moments before (b) (6). (b) (7)(C) came in and said we weren't allowed to eat there. We canceled our order, left the restaurant, and I apologized to (b) (6). (b) (7)(C), stating I was unaware that we were not allowed to eat separately from the group, to which (b) (6). (c) (7)(C) responded, "It's implied."
- Upon learning that I did not in fact make the statement in question, (b) (6), (b) (7)(C) left to go speak with (b) (6), (b) (7)(C), who apparently decided that I would be allowed to stay and complete
- the of the often of the oft
- Prior to being informed that I was allowed to stay in the [b], I contacted (b) (6), (b) (7)(C) by phone to advise [b] of the allegations made, as well as the truth of the matter, and asked [b] to please notify (b) (6). (b) (7)(C) of this occurrence.

I hereby request to see any and all information pertaining to the alleged investigation of me by [5](6), (5) (7)(C) to CWRU in this matter. I would appreciate receiving copies of these documents in advance of my meeting with Human Resources, so I have adequate time to prepare a response to whatever (5) (6), (6) (7)(C) is alleging.

As to attempting to eat at another restaurant:

- It was my unpaid lunch hour
- Officer and I went to the next closest restaurant, after waiting 10-15 minutes at the first restaurant without being seated
- As soon as I was asked to return to first restaurant, I respectfully did so, and apologized for not knowing we weren't allowed to leave the first restaurant
- I was told by (b) (6), (b) (7)(C) (after the fact) that a requirement to eat together was not documented or policy, but was "implied"

As to the allegation that I did not take (0)(6) (0)(7) seriously:

- I arrived prepared and on time, and stayed until the end of all four days (two of which were my scheduled days off)
- I studied for and scored a (b) (6), (b) (7)(C)
- I performed competently (b) (6), (b) (7)(C)(b) (6), (b) (7)(C) (b) (6), (b) (7)(C)
- I earned (b) (6), (b) (6), (b) (7)(C) upon successful completion (b) (6), (b) (7)(C)

As to the allegation that we represented CWRU in an unfavorable light:

- We encouraged all officers during (b) (6), (b) (7)(C)
- We assisted (b) (6), (b) (7)(C) with practicing certain (b) (6), (b) (7)(C) with practicing certain (c) skills with which (c) was struggling
- During a break(b) (6), (b) (7)(C), Officer and I were the only two officers in the group to approach and interact with a group of children who were playing in the area. We spoke with, high-fived, and had positive interaction with the children, as we recognize the importance of good community policing.
- During a break to (b) (6), (b) (7)(C) (who was also in the (b) (6), (b) (7)(C)) that I had observed two dogs enclosed in a hot car with the windows up on campus. I escorted (b) (6), (b) (7)(C) to the car, and called it in, the owner of the vehicle was located.
- We followed all rules and policies without fail. We were not reprimanded or "spoken to" by (b) (6), (b) (7)(C) about anything until the last morning (b) (6), (b) (7)(C), when we were approached about having attempted to eat in a different restaurant (b) (6), (b) (7)(C).



NOTRE DAME COLLEGE POLICE DEPARTMENT VOLUNTARY STATEMENT - CONTINUATION STATEMENT FORM

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3
UNDER SECTION(s) 2921.11, 2921.31, 2921.32 OF THE OHIO REVISED CODE IT IS A CRIMINAL ACT TO MAKE A FALSE STATEMENT, WHICH MAY BE SUBJECT TO PROSECUTION; I AFFIRM THE ABOVE STATEMENT IS TRUE AND ACCURATE.
PROSECUTION; I AFFIRM THE ABOVE STATEMENT IS TRUE AND ACCURATE.



NOTRE DAME COLLEGE POLICE DEPARTMENT VOLUNTARY STATEMENT

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(b) (6), (b)	(7)(C)				residing at the	ne above noted a	address, and agree the
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NOTRE DAME COLLEGE POLICE DEPARTMENT VOLUNTARY STATEMENT - CONTINUATION STATEMENT FORM

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NOTRE DAME COLLEGE POLICE DEPARTMENT VOLUNTARY STATEMENT

ADMINISTRA DATE	TIVE SECTION	LOCATION OF THIS STATEMENT	Committee and the second	CASE#:
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(b) (6), (b) (7)(C)

From:

(b) (6), (b) (7)(C)

Sent:

Wednesday, May 23, 2018 8:51 AM (b) (6), (b) (7)(C)

To:

Subject:

RE: Statement of Incidents from (b) (6), (b) (7)(C)

/2018

Let me know if you have time to chat



From: (b) (6), (b) (7)(C) [mailto(b) (6), (b) (7)(C)

Sent: Thursday, May 03, 2018 10:07 PM

To: (b) (6), (b) (7)(C) ^{ைற்ற}@ndc.edu>

Subject: Statement of Incidents from (b) (6),

Good Evening

Please see attached my statement of events from School of thru [10] (2018.

I have briefed our attorney of the situation and review the statement.

Please contact me with questions.

Thanks for handling this situation so promptly and professionally.

seems to be missing.

Talk to you soon.

(b) (6), (b) (7)(C)

Respectfully,

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

From:

(b) (6), (b) (7)(C

Sent:

Cc:

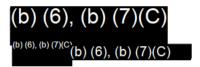
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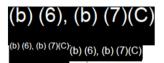
Subject:

FW: Contact Information



Here is the info you requested:





(b) (6), (b) (7)(C)

From: (b) (6), (b) (7)(C)

Sent: Thursday, May 24, 2018 1:00 PM

To: (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) @case edu>

(b) (6), (b) (7)(C)

Subject: Re: Contact Information

Good Morning (10,0) (10,7)(C)

As of this time I am advising any other information regarding this matter will need to be directed to be direct

We were contracted for (b)(0)(0)(0)(7)(C) and have completed as required.

Please don't hesitate to contact me for any other matters.

Pardon my brevity, sent from my mobile device.

Regards (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

From:

(b) (6), (b) (7)(C)

Sent:

Monday, May 07, 2018 7:40 PM

To: Cc: (b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

Subject:

Re: Request for Conference

I spoke with but not HR

Get Outlook for iOS

From: (6) (6), (6) (7)(0

Sent: Monday, May 7, 2018 5:28:46 PM

To: (b) (6), (b) (7)(C)
Cc: (b) (6), (b) (7)(C)

Subject: Fwd: Request for Conference



Have they contacted you?

Thanks for any assistance.

Pardon my brevity, sent from my mobile device.



Begin forwarded message:

From: (b) (6), (b) (7)(C)

Date: May 7, 2018 at 5:27:19 PM EDT

To: (b) (6), (b) (7)(C)
Ce: (b) (6), (b) (7)(C)

Subject: Fwd: Request for Conference



Let me know your thoughts.

Pardon my brevity, sent from my mobile device.

Regards (b) (6), (b) (7)(C)

Begin forwarded message:

From: (b) (6), (b) (7)(C) (a case.edu>

Date: May 7, 2018 at 5:19:28 PM EDT



Let me know your thoughts.

Pardon my brevity, sent from my mobile device.

Regards (b) (6), (b) (7)(C)

Begin forwarded message:

From: (b) (6), (b) (7)(C)@case.edu>

Date: May 7, 2018 at 5:19:28 PM EDT

To: (b) (6), (b) (7)(C)
Cc: (b) (6), (b) (7)(C)

acase.edu> Subject: Request for Conference

(b) (6), (b) (7)(C) and (b) (6), (b) (7)(C)

My colleague (b) (6), (b) (7)(C) and I would like to speak with you both (individually) at your convenience about the (b) (6), (b) (7)(C) that wo of our officers attended (b) (6), (b) (7)(C)

If you would be so kind, please let us know your availability this week and next week. Thank you.

Case Western Reserve University 10900 Euclid Avenue Crawford Hall, Suite 320 Cleveland, OH 44106 Ph: (b) (6), (b) (7)(C)

a case.edu Email AskHR@case.edu

From: (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) (acase.edu)

Date: Fri, May 11, 2018 at 11:42 AM

Subject: Contact Information
To: (b) (6), (b) (7)(C) (acase.edu, (b) (6), (b) (7)(C) (c) (acase.edu)

Hi All,

٠,

(b) (6), (b) (7)(C) (b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C) (b) (e), (b) (7)(C) PD (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

Pardon my brevity, sent from my mobile device.

Regards

(b) (6), (b) (7)(C)

and the HR representative assigned to conduct the investigation into officer misconduct would like the contact information for the two witnesses listed below. Can you please forward their information to me and I will relay it to cell is listed on statement but (b) (6). (b) (7)(C) statement is on a statement continuation form with no contact information provided. Any help you can provide would be appreciated.

(b) (6), (b) (7)(C)

Case Western Reserve University

1689 E 115th Street, Cleveland, Ohio 44106

p (b) (6), (b) (7)(C)

f 216-368-0409

Date: Tue, May 15, 2018 at 9:09 AM Subject: RE: Contact Information To: (b) (6), (b) (7)(C)

Hi^{(6) (6), (6)} (7)(C)

Would you be able to get contact information for (b) (6), (b) (7)(C) (D) (D) (D)?

From (b) (6), (b) (7)(C) [mailto @case.edu]

Sent: Friday, May 11, 2018 3:25 PM

To: (b) (6), (b) (7)(C) @case.edu>

Subject: Fwd: Contact Information

FYI.

(b) (6), (b) (7)(C)

Case Western Reserve University

1689 E 115th Street, Cleveland, Ohio 44106

(b) (b) (7)(c) 2) case.edu

p(b) (6), (b) (7)(C)

f 216-368-0409

From: (b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

Date: Fri, May 11, 2018 at 2:51 PM

Subject: Re: Contact Information
To: (b) (6), (b) (7)(C)

To: (b) (6), (b) (7)(C) $< \frac{a_{c} \cdot case.edu}{a_{c} \cdot dc.edu} >$ (c) (b) (6), (b) (7)(C) $< \frac{a_{c} \cdot case.edu}{a_{c} \cdot dc.edu} >$ (b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C

(b) (6), (b) (7)(C) (b) (6), (b) (7)(c) PD

From: (b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

Date: Tue, May 15, 2018 at 10:29 AM Subject: Re: Contact Information



(b) (6), (b) (7)(C)

(b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

Pardon my brevity, sent from my mobile device.

Regards

(b) (6), (b) (7)(C)

On May 15, 2018, at 9:43 AM, (b) (6), (b) (7)(C) (iii) (iii) (iii) (case.edu > wrote:

contact information for my HR department?

(b) (6), (b) (7)(C)

Case Western Reserve University

1689 E 115th Street, Cleveland, Ohio 44106

(i.e. case.edu

p (b) (6), (b) (7)(C)

f 216-368-0409

(b) (6), (b) (7)(C)

From: Sent:

(b) (6), (b) (7)(C) (b) (b) (7)(C) @case.edu> Thursday, May 24, 2018 5:53 PM

To:

(b) (6), (b) (7)(C)

Cc:

^(b) (acase.edu; (b) (6), (b) (7)(C)

Subject:

Re: Contact Information



thanks so much.



Sent from my iPhone

On May 24, 2018, at 14:57, (b) (6), (b) (7)(C) @ndc.edu> wrote:



Here is the info you requested:

(b) (6), (b) (7)(C) (b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

(b) (6), (b) (7)(C)

(mailto(b) (6), (b) (7)(C)

Sent: Thursday, May 24, 2018 1:00 PM

To: (b) (6), (b) (7)(C) (b) (6), (b) (7)(c) (c) case.edu>

(b) (6), (b) (7)(C) < (b) (6), (b) (7)(C)

Subject: Re: Contact Information

Good Morning

As of this time I am advising any other information regarding this matter will need to be directed to (b) (6), (b) (7)(C) and Notre Dame College as they were the hosting agency.

We were contracted for (6)(6)(6)(7)(6) and have completed as required.

Please don't hesitate to contact me for any other matters

Pardon my brevity, sent from my mobile device.



POSITIVE CORRECTIVE ACTION (PCA) FORM

Emplo	yee Name:	(b) (6), (b) (7)(C)	Date Issued:	2019	
Positio	on'	(b) (6), (b) (7)(C)		Department:	Department Pub	lic Safety
Superv	visor Name:	(b) (6), (b) (7)(0	C)	,		
	·					
PERFO:	RMANCE CO	PRRECTIVE ACTI	ON:			
[🗌] V	erbal Warning	[🛛] Written	Warning	[] Suspens	ion [] Te	rmination
PREVIO	OUS POSITIV	'E CORRECTIVE	ACTION(S):			
	☐ Verba	l Warning (date):			· · · · · · · · · · · · · · · · · · ·	
_	Writte	n Warning (date):				
	Sus	pension (date):			-	•
						
☐ Âl	tion of Issue bsenteeism onduct	Unsatisfactory			afety violation ther:	·····
the facts i	and circumstand Il relevant dates	VE OF UNACCEPT ces relating to the cond t, times, places, person	duct that gave	rise to this Positiv	e Corrective Action.	

On 2019, you were placed on paid investigatory suspension to give the university time to investigate concerns regarding unprofessional conduct you were alleged to have engaged in while performing traffic/cross-walk duties at the Adelbert/Euclid Avenue intersection. This was the second such incident reported to Human Resources in a two-week period and Human Resources was still in the process of investigating the first incident when the second incident was reported. Therefore, both incidents are being addressed together. The investigation into

these incidents is complete and indicates you engaged in conduct contrary to university

expectations for its police officers and contrary to the following policies:

 Work Environment/Professionalism and Positive Corrective Action -Inappropriate, unprofessional, and disrespectful behavior, and failure to maintain professional interpersonal relationships with co-workers, supervisors, customers, or any member of the university community

University Police Department Policy 2.0 General duties and Reponsibilities-2.1.10 General Duties-Personnel of the Department shall: Be civil and orderly in dealing with

all people. You must perform your duties quietly, avoiding harsh, violent, profane, or insolent language, and remain calm regardless of provocation. On 2019 at 1000 hours, you were performing crosswalk duties at the intersection of Euclid Avenue and Adelbert Road. During this time, a Case Western Reserve University (CWRU) (b) (6), (b) (7)(C) was driving vehicle behind a CWRU shuttle. followed the shuttle through the intersection because observed you waving the shuttle through the did not observe you giving a hand signal to stop or stay in the same position. As turned onto Adelbert, (b) (6), (b) (7)(C) waved to you and you shouted at front of the students at the crosswalk, "WHAT THE F*CK ARE YOU DOING?" According to (b) (6), (b) (7)(C), you swore and yelled at in front of the students and other community (b) (6), (b) (7)(C) also reported that you failed to give clear hand signals or directions should proceed or stop at the intersection. 2019, Human Resources met with you to discuss this incident. You stated that you did not recall the incident and that you do not use that type of language. The Human Resources representative advised you that you are expected to be professional and appropriate at all times when performing your duties. You were advised that it is unacceptable to yell and use profane language when performing your duties and when interacting with community members. Therefore, you were aware or 2019 that such conduct was not considered acceptable. The second incident occurred before the review of the first incident was completed. 2019 at approximately 1025 hours, you were again performing crosswalk duties at <u>ection of</u> Euclid Avenue and Adelbert Road. The Department of Public Safety (b) (6), (b) (7)(C) was driving west bound on Euclid approaching the intersection of Euclid and observed a(b) (6), (b) (7)(C) white in color with(b) (6), (b) (7)(C) decals, preparing to to make a left turn on to Adelbert Road. You and the driver began to speak as(b) (6), (b) (7)(C) This conversation happened as the driver was advanced into the intersection behind the advancing through the two Eastbound lanes of Euclid, well before the crosswalk. advanced further saw that the light was still green and the crosswalk was clear so and one rolled down window. As the into the intersection behind the driver, inaudibly at first and heard you speaking in a loud voice to the ending the conversation with "ASSHOLE". You were loud and visibly angry. (b) (6), (b) (7)(C) advanced further into the intersection, and upon seeing you stated to (b) (6), (b) (7)(C) that the driver had almost hit you, to which (b) (6), (b) (7)(C) replied, "No, didn't" and thought that you didn't curse while on the job. (b) (6), (b) (7)(C) then advised you that you needed to change your tone. (b) (6), (b) (7)(C) continued on through the intersection to avoid delaying traffic. Human Resources spoke with the driver of the van who reported that all the pedestrians had advanced across the crosswalk and wanted to make sure that you were aware that was behind you on Euclid beeped horn so that you would be aware that was behind you

tried to explain why honked horn but you proceeded to get angry and yell at

stated that you started yelling at

and needed to proceed on to Adlebert Road.

stated you screamed, "there were f*cking people there". admitted that in response lost temper, yelled at you and used profanity.

When you met with Employee Relations on 19 about the 2019 incident, you admitted to calling the driver a "Jackass." You stated that there were many students and community members crossing the street in the cross walk and that the driver beeped horn because did not want to hit you. You stated you did not remember swearing at other than saying was a "Jackass." You also stated that you try not to swear and you should not have called a "Jackass" in front of the students.

POSITIVE CORRECTIVE ACTION REQUIRED:

Based upon the above, you are being issued a written warning. You must perform the duties outlined in your job description in accordance with department expectations, including adhering to the Work Environment/Professionalism policy, and maintaining satisfactory or above performance in all areas of your job. You have been involved in a few incidents of unprofessional conduct involving loss of temper and swearing. Losing your temper, yelling at and swearing at persons is not consistent with department or university expectations and runs counter to the positive reputation the university strives to maintain for its police department. Further incidents of unprofessional conduct will result in unpaid suspension or termination. You must refrain from losing your temper and yelling at community members (whether CWRU-affiliated or not). In addition, you must also adhere to all university and departmental policies and procedures. Failure to do any of the above will result in further corrective action, up to and including termination. In addition, you are being referred to CWRU's employee assistance program, IMPACT Solutions, for assessment and recommendations on appropriately dealing with conflict and stress in the workplace. IMPACT will determine an appropriate program for you. You are expected to sign an authorization permitting IMPACT Solutions to release information to the (b) (6), (b) (*1* verifying your compliance with the IMPACT recommended program. Failure to comply with or complete the recommended program may result in termination of your position as (b) (6), (b) (7)(C) CWRU reserves the right to determine in its sole discretion whether you have complied with the program.

Employee's Comments (if any):

By signing below, you acknowledge that you have received this PCA Form. You are <u>not</u> acknowledging agreement with the information in the PCA Form and are not waiving any grievance rights you have.

(b) (6), (b) (7)(C)

(b) (d), (b) (7)(G)
20/1
DATE

(b) (6), (b) (7)(C)
SUPERVISOR SIGNATURE



At around 10:30 AM, I was working the crosswalk at Euclid and Adelbert. I had my back facing the westbound traffic on Euclid Ave., and stopped the eastbound turning traffic on Euclid Ave. While crossing students on Adelbert Road, I heard a close, loud, car horn behind me. I turned around to see a large white (b) (6), (b) (7)(C) approximately four feet from where I was standing in the middle of the intersection, blocking the eastbound traffic on Euclid Ave. The driver rolled down window and said, "I beeped the horn because I didn't want to hit you," to which I replied, "This is a crosswalk, you jackass!" I did not cite the driver for traffic violations (failure to yield to pedestrians in a crosswalk; blocking traffic in an intersection), and I directed the driver through the crosswalk area. The next vehicle that was turning behind the was driven by (b) (6), (b) (7)(C) of Public Safety for CWRU. stopped car, rolled down window, and said, "I thought you didn't swear on the crosswalk," in a sarcastic tone. I replied, "That almost hit me; I called a jackass." said to me in a loud voice with an aggressive, sneering tone, "You need a serious attitude adjustment!" then proceeded to follow the down Adelbert, pull car alongside the and get out of car to speak with the driver of the leave a phone call from (b) (6), (b) (7)(C) not long after (c) (6), (b) (7)(C) conversation with the driver, telling me to go back to headquarters and sit downstairs. An hour and a half later, I was called upstairs to see and (b) (6), (b) (7)(C) I apologized to for the incident, told that I need my job, and that I was sorry. did not acknowledge or respond to me, and instead instructed to take my weapon, and (b) (6), (b) (7)(C) told me to change out of my uniform and go to HR.

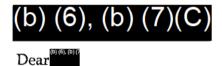
As I have stated to HR in the past, I believe while on duty, and instead of being concerned at all for the wellbeing of the students in the crosswalk at the time — whom I am charged with protecting (while not being loud, and not writing tickets for traffic violations) — or even being concerned for my safety after a frightening "near-miss" incident, chased down the driver who almost hit me to solicit a complaint against me. I was wrong, and I realize now that I am in the habit of using inappropriate language when I am frustrated, and I am actively working to change my vocabulary to one more professional and appropriate for a representative of CWRU. That said, I had an emotional, knee-jerk reaction to nearly being hit by a and (b)(6)(b)(7)(c) used the situation to actively pursue getting a complaint filed against me that resulted in my suspension. I feel this is at best an extremely poor example of leadership from (b)(6)(b)(7)(c) using authority to attempt to terminate me in retaliation for supporting the union, being critical of performance in the past, and because imply does not like me.

(b) (6), (b) (7)(C) (b) (6), (b) (7)(C)

Department of Human Resources Crawford Hall, Room 320 10900 Euclid Avenue Cleveland, Ohio 44106-7047 Phone 216.368.6964 Fax 216.368.4678 AskHR@cwru.edu

VIA HAND DELIVERY AND ELECTRONIC MAIL

March 11, 2019



This letter is to inform you of the results of the formal grievance that you filed on (b) (6), (b) (7)(C) 2018 with Human Resources, Office of Employee Relations, seeking to rescind a Positive Corrective Action (PCA) Verbal Warning issued on (b) (6), (b) (7)(C) 2018.

Officers (b) (6), (b) (7)(C) and (c) (b) (6), (b) (7)(C) arrived. Officers and (c) (6), (b) (7)(C) arrived while the other three were shooting. Certain things are in dispute, including whether any of the officers had the opportunity to practice and whether all officers were timed. However, these disputes are ultimately irrelevant to the events that occurred, and are addressed with new Range Procedures and Guidelines for (b) (6), (b) (7)(C)



hour – later, which would not have done had known score; and (2) every other officer questioned stated that, whether they passed or failed, they were not told their number score. It is possible that had you provided Officer number score, it could have defused the situation. This is something you should consider in the future when dealing with your colleagues. However, the CWRU Police Department did not have Range Procedures requiring (b) (6), (b) (7)(C) to give officers their numerical score at the time. Moreover, (b) (6), (b) (7)(C) admitted that never instructed you to do so. Therefore, your failure to give a number score (as opposed to telling officers pass/fail) does not violate any policy or procedure.

Officer (b) (6), (b) (7)(c) continued to challenge your scoring, and based on the accounts of Officer (b) (6), (b) (7)(c) and other officers present, you responded that "failed;" and you were "not going to keep f*cking talking about it and go reload and go back to the f*cking line."

After Officer (b) (6), (b) (7)(C) passed the Semi-Auto Pistol regualification course on second engaged Officers andin the tent area about not getting credit for a shot on the line, a head shot/minus one or minus two, the grey area in terms score, and asking what counted. looked up the OPOTA firearm reof calculating phone, and showed them a blank silhouette target from the qualification rules on OPOTA website. From their accounts, Officer (b) (6), (b) (7)(C) was upset that failed the first time, and expressed to the others that felt that you scored incorrectly and were affect your scoring. At one point when you letting personal feelings towards walked to the tented area, Officer (b) (6), (b) (7)(C) attempted to show you the OPOTA website cell phone to prove that with the blank silhouette and scoring system on the first time. You refused to engage with further discussion about whether or failed the first time.

After Officer (b) (c) (b) (7)(c) passed the requalification on personal weapon and shotgun in the tent area, first attempt) and, after talking to Officer to forego the optional rifle requalification and leave the range all together. You and Officer (b) (6), (b) (7)(c) engaged once again as was leaving and demanded to know score. You asked, "Which one?" and said, "The first one." You responded "Fail. again insisted, "I want the score, then said, "The second one" and you said, "Pass." and you responded along the lines that scores are not reported or that the requalification is not graded; it's just pass/fail. You then asked if was going to rifle and said, "No. I don't need the f*cking rifle." continued to requalify with leave and you yelled, "You have a problem, call (b) (6), (b) (7)(C) responded, "F*ck you. You can speak to my attorney." Both of you had your voices raised at this time, though neither of you seem to have been out of control yelling.

Both you and Officer (b) (6), (b) (7)(C) used profanity. Swearing and profane language violates the university's <u>Professionalism</u> policy and the CWRU Police Department Rules and Regulations on General Duties and Responsibilities and is not tolerated at the university.

However, I do not believe that this rises to the level of a verbal warning. The use of profanity was not directed towards a member of the campus community or the public. While it is still not acceptable to use profanity directed at a colleague, in the context of this specific situation it does not present the same level of concern that arises when used towards students, staff, faculty, or members of the community and public. Therefore, I conclude that the PCA should be rescinded, and instead a Job Expectations Memo should be issued to address the lack of professionalism. However, please be advised that further profanity – at the gun range or on campus – and whether directed at other officers or persons external to the department – is unprofessional and will not be tolerated.

What also became apparent through my review is that the CWRU Police Department would benefit from the development of clearer and more specific Guidelines and Procedures for (b) (6), (b) (7)(C) and on the Range. (b) (6), (b) (7)(C) will develop those and incorporate them into your Job Expectations Memo.



Range Mimo

(b) (6). (

- I was approached by (b) (6), (b) (7)(C) and asked to explain why (b) (d) not pass the first attempt on the OPOTA requalification with pistol. I explained the scoring system and informed (that would need to make a second attempt. (b) (6), (b) (7)(C) and (again approached me and informed me that (b) (again approached me and informed me that (again approached me and informed me that
- I am deeply concerned about the angry response and lack of self-control Officer discontinuous displayed as

I promote a positive learning environment on the range with safety and accuracy the goal. Shooting is a perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people just have a bad day at the range. Several other officers, perishable skill, and sometime people skill,

I have no issues with Officer equalifying with (b) (6), (b) (7)(C) requalifying with sissues requalifying (b) (6), (b) (7)(C) with (b) (6), (b) (7)(C) present. Please advised me if any further action need to take place.

(b) (6), (b) (7)(C)



POSITIVE CORRECTIVE ACTION FORM

Employee Name: (b) (6), (b) (7)(C)	Date Issued: 2018
Position: (b) (6), (b) (7)(C)	Department: <u>Police Department</u>
Supervisor Name: (b) (6), (b) (7)(C)	
PERFORMANCE CORRECTIVE ACTION:	
[☑] Verbal Warning [☐] Written Warning	[] Suspension [] Termination
PREVIOUS POSITIVE CORRECTIVE ACTION(S)	:
[] Verbal Warning (date):	
[🗆] Written Warning (date):	
[🗆] Suspension (date):	
Description of Issue [□] Absenteeism [□] Unsatisfactory job performa [□] Conduct [□] Policy or procedure violation	
FACTUAL NARRATIVE OF UNACCEPTABLE PERI facts and circumstances relating to the conduct that gave ris- relevant dates, times, places, persons present, Departmental	e to this Positive Corrective Action. Include all
On (b) (6), (b) (7)(C) you were hired as (b) (6), (b) (Services at Case Western Reserve University (CWRU).	7)(C) in the Department of Police and Safety
On (b) (6), (b) (7)(C) 2014, you received a department harsher than necessary and an aggressive demeanor is community. In the corrective action required, you sessions, conflictive work relationship management via apology to the community member. In addition, yappropriate manner, especially in conducting import environment.	n interacting with a member of the University were advised to attend diversity training a the employee assistance program, and issue you were advised to conduct yourself in an

I did not

On 2018, you received a memorandum from Human Resources stating that there were no findings that you violated departmental or university policies during the (b) (6), (b) (7)(C) at Notre Dame College. Although there were no findings that you violated any specific policies, you were reminded that when you are on or off campus as a member of the university's police

department, it is expected that you will act professionally and courteously at all times.



On Thursday, (b) (6), (b) (7)(C) 2018, you were (b) (6), (b) (7)(C) for the CWRU Police Department mandatory annual range requalification. Throughout the day, you requalified officers starting at 0800 hours and ending at 1400 hours. There were five police officers, (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) (b) (6), (b) (7)(C) and (c) (6), (b) (7)(C) signed up to requalify from 1200 hours to 1400 hours.

To begin the requalification process for the second group of officers, Officer went to the "line" to shoot the six (6) stages of the Ohio Peace Officer Training Academy (OPOTA) Range Proficiency Record: Semi – Auto Pistol qualification course at the same time. After completing the OPOTA Range Proficiency Record: Semi – Auto Pistol qualification course, you advised Officer (b) (6), (b) (7)(C) that did not pass and would have a second chance to attempt to pass the requalification course. Officer (b) (6), (b) (7)(C) asked you what score was and you advised that it is a pass or fail course; no scores are kept. Officer (b) (6), (b) (7)(C) passed the Semi – Auto Pistol requalification course after second attempt as well as passing the Range Proficiency Record: Shotgun course on first attempt.

Throughout the requalification process, Officer (b) (6), (b) (7)(C) continued to pursue the conversation with you regarding score on first attempt at requalification. You became visibly more agitated, annoyed, and angry that Officer (b) (6), (b) (7)(C) continued to question you on continued to happen at the tent and Officer (b) (6), (b) (7)(C) showed you OPTOA scoring information on cell phone. Officer (b) (6), (b) (7)(C) decided to gather because was not planning to requalify for rifle. While leaving the firing range and walking by the firing line you advised Officer (b) (6), (b) (7)(C) that (did not complete the requalification course for the patrol rifle and was not done. Officer (b) (6), (b) (7)(C) continued to question you about score and you advised that could speak to your (b) (6), (b) (7)(C)

According to a witness, you and Officer (b) (6), (b) (7)(C) got into a verbal dispute near the "line". Officer (continued to ask what score was and you said something like "I don't want to talk about it, it is pass or fail" You were both exchanging words in a heated manner. Officer pulled up the OPOTA scoring system on cell phone and continued to question you on why had failed on the first attempt and your responded that you did not want to see it"

Your actions violate the following CWRU Police Department Rules and Regulations and the Human Resources Policies and Procedures Manual, which prohibit the following conduct:

- CWRU Police Department Rules and Regulations Policy 1.0 Professional Conduct of Personnel: 1.3.14 - Personnel of the CWRU Police Department Shall Not: Be disrespectful or discourteous to any other personnel of the CWRU Police Department, CWRU, or students, faculty and guests of the University.
- CWRU Police Department Rules and Regulations Policy 2.0 General Duties and Responsibilities: 2.1.10 - Personnel of the Department Shall: Be Civil and orderly in dealing with all people. You must perform your duties quietly, avoiding harsh, violent, profane, or insolent language, and remain calm regardless of provocation.
- CWRU Policies 1-7, Work Environment / Professionalism and III-3,: Positive Corrective Action - Inappropriate, unprofessional and disrespectful behavior, and failure to maintain professional interpersonal relationships with co-workers, supervisors, customers, or any member of the University Community.



As (b) (6), (b) (7)(C) you are expected to set the example and remain professional and appropriate at all times. It is not appropriate to engage in a verbal dispute during requalification, even if, you were frustrated or provoked.

POSITIVE CORRECTIVE ACTION REQUIRED:

Employee Copy

Based upon the violations of university policy and your inappropriate and unprofessional conduct, you are being issued a verbal warning. You must be professional and appropriate in all interactions. Of course, you must perform the duties outlined in your job description and adhere to all university/departmental policies/procedures. Failure to do any of the above will result in further corrective action, up to and including termination.

Employee Relations will be identifying training sessions, either on a departmental or individual basis or possibly both, for you to participate in to further your understanding of expectations regarding professionalism in the workplace. Once the training has been identified you will be expected to participate in such training.

Your performance will be closely evaluated over the next 60 days. Failure to correct this behavior and/or any additional violation of university/departmental policies, failure to maintain satisfactory attendance, or failure successfully perform the duties of your job will result in further corrective action, up to and including termination.

Employee's Comments (if any): This information is false. Those four officer, one boing (b) (6), (b) (7)(C) that will state this information is false. I will hired an altorney to assist a this matter. Those also filed a staff grisvence form. I did not violate any curu Policies or cocadores and acted processional and appropriate at all times.

By signing below, you acknowledge that you have received this PCA Form. You are <u>not</u> acknowledging agreement with the information in the PCA Form and are not waiving any rights you may have.

Original to Employee File

In Lieu of disciplinary Actions (b)	(6), (b) (7)((C) (b) (6), (b) (7)(C)	(b) (6), (b) (7)(C) 2d 8
EMPLOYEE SIGNATURE	DATE	SUPERVISOR SIGNATURE	DATE

Employee Relations Copy

From: Cendrosky, Sharlee
To: wade.fricke@ogletree.com

Subject: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Date:Tuesday, March 19, 2019 11:32:48 AMAttachments:LTR 08-CA-237257 Case Western Reserve.pdf

Hello Mr. Fricke,

Please see the attached letter requesting a statement of position and documents in the above referenced case. Please note a deadline of April 4, 2019, to submit all of your evidence.

Thanks,

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

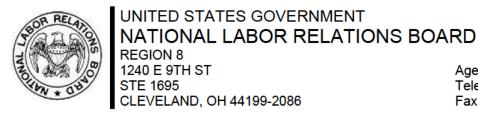
Cell: 202-664-9892

Facsimile: 216-522-2418

From: Cendrosky, Sharlee To: Hibbitt, Regina C.

please mail this letter for me- my envelope is not working and Kim is absent. thanks!! Tuesday, March 19, 2019 11:06:00 AM Subject:

Date: LTR 08-CA-237257 Case Western Reserve.pdf **Attachments:**



Agency Website: www.nlrb.gov Telephone: (216)522-3715 Fax: (216)522-2418

Agent's Direct Dial: (216)303-7374

March 19, 2019

Email: wade.fricke@ogletree.com

Wade Fricke, Esq.
Ogletree Deakins
127 Public Square
Suite 4100
Cleveland, Ohio 44114

Re: Case Western Reserve University -Division of Public Safety Case 08-CA-237257

Dear Mr. Fricke:

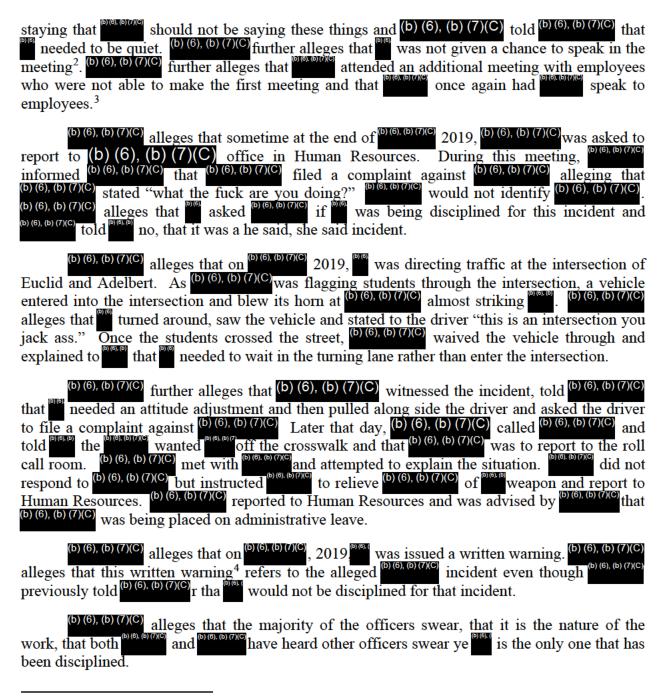
I am writing this letter to advise you that it is now necessary for me to take evidence from you regarding the allegations raised in the investigation of the above-captioned matter. As explained below, I am requesting to take affidavits on or before April 1, 2019, with regard to certain allegations in this case.

Allegations: The allegations for which I am seeking your evidence are as follows. About [b] (6), (b) (7)(C) 2019, the Employer disciplined or retaliated against (b) (6), (b) (7)(C) by issuing (b) (6), (b) (7)(C) a suspension because of (b) (6), (b) (7)(C) union or protected concerted activities in violation of Sections 8(a)(1) and (3) of the Act.

Specifically, (b) (6), (b) (7)(C) alleges that on two separate occasions, participated in Union organizing efforts; the first in 2014 and most recently during the time period of May 2018 through January of 2019.

(b) (6), (b) (7)(C) alleges that in January of 2019, attended a mandatory meeting in which (b) (6), (b) (7)(C) told employees that was disappointed that the employees wanted to form a union and then stated that the employees should have spoken to then stated that the employees should have spoken to then asked (b) (6), (b) (7)(C) police officer and known anti-union employee, to speak to the group. (b) (6), (b) (7)(C) alleges that then stated "we don't know how good we have it" and "the University will fire us or replace us if we attempt to get a union."

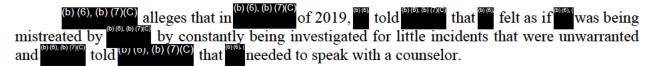
¹ It is anticipated that (b) (6).(b) (7)(C) may amend the charge to allege that this statement by (c) (a) (1) of the Act.



² It is anticipated that (b) (6), (b) (7)(C) may amend the charge to allege that by providing an opportunity to speak and not allowing (b) (6), (b) (7)(C) to speak (denial of access), that the Employer violated Section 8(a)(1) of the Act.

³It is anticipated that (b) (6), (b) (7)(C) may amend the charge to allege that because attended both meetings and gave the same speech, that the Employer directed statements, that Section 2(13) of the Act and therefore statements violated Section 8(a)(1) of the Act.

⁴(b) (6), (b) (7)(C)</sup> alleges that according to the progressive discipline policy in place, the warning should have only been a verbal warning.



indicated that wished to form a think group where employees could voice their concerns and create solutions to resolve grievances. (b) (6), (b) (7)(C) alleges that scheduled the meeting on a day that (b) (6), (b) (7)(C) had scheduled range training so that (b) (6), (b) (7)(C) could not participate.

(b) (6), (b) (7)(C) alleges that written warning as well as its reference to the incident violates Section 8(a)(1) and (3) of the Act.

Board Affidavits: I am requesting to take affidavits from (b) (6), (b) (7)(C) and any other individuals you believe have information relevant to the investigation of the above-captioned matter. Please be advised that the failure to present representatives who would appear to have information relevant to the investigation of this matter, for the purposes of my taking sworn statements from them, constitutes less than complete cooperation in the investigation of the charge.

Documents: Please provide the following documents, along with any and all other evidence you deem to be relevant to the case:

- 1. Personnel file of (b) (6), (b) (7)(C) including but not limited to copies of all wage increases, performance reviews, awards, (and the dates(s) on which they occurred), disciplinary records, suspension paperwork, signed policy documents, etc. If there is a progressive discipline system in place, please note which discipline(s) occurred at what step of that system. Please redact social security number and do not include any banking information.
- 2. Please provide a copy investigative notes, emails, and other documents that the Employer relied upon in making its decision to issue the warning to (b) (6), (b) (7)(C) If an investigation was conducted, please identify all witnesses interviewed including their position with the company and provide their contact information. If no investigation was conducted, please state. If there are no documents, please state.
- 4. For the last five years, copies of all disciplines/positive correction forms, issued to patrolman/officers for violating policies similar to those listed in (b) (6), (b) (7)(C) 2019, written warning. If none exist, please state.

5. For the time period of January 2019, to current, a list of all managers and/or supervisors in charge of patrolman in the Department of Public Safety, including their job titles, providing a correct spelling of their names. In addition, please provide your position on the 2(13) status of (b) (6), (b) (7)(C) (see footnote 3).

Date for Submitting Evidence: To resolve this matter as expeditiously as possible, you must provide your evidence and position in this matter by <u>April 4, 2019</u>. Be sure to address the allegations listed in the footnotes. Electronic filing of position statements and documentary evidence through the Agency website is preferred but not required. To file electronically, go to **www.nlrb.gov**, select **E-File Documents**, enter the **NLRB case number**, and follow the detailed instructions. If I have not received all your evidence by the due date or spoken with you and agreed to another date, it will be necessary for me to make my recommendations based upon the information available to me at that time.

Please contact me at your earliest convenience by telephone, (216)303-7374, or e-mail, sharlee.cendrosky@nlrb.gov, so that we can discuss how you would like to provide evidence and I can answer any questions you have with regard to the issues in this matter.

Very truly yours,

SHARLEE CENDROSKY Field Attorney From: <u>Cendrosky, Sharlee</u>
To: <u>Fricke, Wade M.</u>

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Date: Thursday, April 11, 2019 10:30:10 AM
Attachments: nlrbform5081 Commerce Questionnaire.pdf

Hi Wade,

I don't yet have a completed commerce questionnaire for this case. Did you send one in yet? If not, I have attached a blank one. I will need this asap but no later than Wednesday 4/16/19. thanks

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: Fricke, Wade M. <wade.fricke@ogletree.com>

Sent: Thursday, April 4, 2019 1:14 PM

To: Cendrosky, Sharlee <Sharlee.Cendrosky@nlrb.gov>

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Here is the Company's response. You will get a hard copy tomorrow – which will include the thicker personnel file.

Please let me know if you have any questions.

Thank you for your attention to this.

Wade

Wade M. Fricke | Ogletree, Deakins, Nash, Smoak & Stewart, P.C.

Key Tower, 127 Public Square, Suite 4100 | Cleveland, OH 44114 | Telephone: 216-357-4732 | Fax: 216-357-4733

wade.fricke@ogletree.com | www.ogletree.com | Bio

From: Cendrosky, Sharlee < Sharlee.Cendrosky@nlrb.gov>

Sent: Tuesday, March 19, 2019 11:33 AM

To: Fricke, Wade M. <<u>Wade.Fricke@ogletreedeakins.com</u>>

Subject: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Hello Mr. Fricke,

Please see the attached letter requesting a statement of position and documents in the above referenced case. Please note a deadline of April 4, 2019, to submit all of your evidence.

Thanks,

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892

Facsimile: 216-522-2418

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PLEASE REVIEW THE FOLLOWING IMPORTANT INFORMATION BEFORE FILLING OUT A QUESTIONNAIRE ON COMMERCE INFORMATION FORM!

- Please call the Board Agent to whom the pending charge or petition is assigned for assistance in completing the questionnaire on commerce information form. The Agent will be happy to answer your questions about the information requested on the form. This form should be completed by your representative best qualified to give information concerning the legal status, revenues, as well as, operations of your business.
- In Questions 3, 4, 5 and 6, please provide all information requested including applicable zip codes and suite numbers.
- Under Questions 10A through F, check the appropriate box for question. If you are required to indicate a dollar amount in Questions 10A through F, do so in the box to the immediate right of the question. If the information requested under Questions 10 through E is not applicable to your business, state the same in the box to the immediate right of the question.
- After completing the questionnaire on commerce information form, be sure that the authorized representative completing the questionnaire on commerce signs and dates the questionnaire and mails, faxes or hand delivers the completed questionnaire to the appropriate Regional Office.
- The information provided in the questionnaire on commerce information should be based on your business records reflecting the total yearly amount of business done by your enterprise or the yearly amount of your sales or of your purchases.
- Be sure to include the telephone number of the party best qualified to provide further information concerning the operations of your business.
- The completed questionnaire on commerce information should be submitted to the Board Agent to whom the pending charge or petition is assigned. If charges or petitions are pending in two or more Regions, a Board Agent to whom any of the pending charge or petition is assigned will be happy to assist you in locating the appropriate Regional Office in which to file the questionnaire on commerce information.

INTERNET NATIONAL LABOR RELATIONS BOARD (2-08) QUESTIONNAIRE ON COMMERCE INFORMATION			FORM EXEMPT UNDER 44 U.S.C. 3512	
Please read carefully. Answer all applicable items and return to the Regional Office. If additional space is required, use plain bond paper and identify item number.				
CASE NAME			CASE NUMBER	
1 1	ARTNERSHIP	SOLE PROPRIETO	RSHIP	
2. CLASSIFICATION WHICH DESCRIBES YOUR BUSINESS [] WHOLESALING [] NEWSPAPER [] HOSPITAL [] HOTEL - MOTEL [] TRUCKING [] PUBLIC UTILITY [] TRANSIT SYSTEM [] BUILDING AND CONST	[[FUCTION	OFFICE OF INDUSTRIAL BUILDING MANUFACTURING/PROCESSING BROADCASTING STATION OTHER (Describe)] RETAIL] SERVICE ORGANIZATION] NURSING HOME	
3. EXACT LEGAL TITLE OF FIRM				
4. IF A CORPORATION				
STATE OF:	PARENT, SUBSIDIAI	RY, OR RELATED CORPORATION, IF ANY, AND	DESCRIBE RELATIONSHIP.	
5. IF A PARTNERSHIP FULL NAME AND COMPLETE ADDRESS OF ALL PARTNERS.				
6. IF A PROPRIETORSHIP				
FULL NAME AND COMPLETE ADDRESS OF PROPRIETOR.				
7. BRIEFLY DESCRIBE THE NATURE OF YOUR BUSINESS (General products handled or manfactured, or nature of services performed).				
8. PRINCIPAL PLACE OF BUSINESS LOCATED AT:		BRANCH(es) LOCATED AT:		
 NUMBER OF PERSONNEL PRESENTLY EMPLOYED BY YOUR FI A. TOTAL 		THE ADDRESS INVOLVED IN THIS DROCEED!	NC.	
	scal Year indicate dat	, , , ,	riate box):	
t i t i	IF LESS THAN \$50,0	00 INDICATE AMOUNT	\$	
L L I	IF LESS THAN \$50,0	00 INDICATE AMOUNT	\$	
C. DID GROSS REVENUE FROM YOUR SALES OR PERFORM WHICH DIRECTLY MADE SALES TO CUSTOMERS OUTSID PURCHASES FROM DIRECTLY OUTSIDE THE STATE	NT \$			
D. IF THE ANSWER TO 10(c) IS NO, DID GROSS REVENUE FF \$50,000 TO PUBLIC UTILITIES, TRANSIT SYSTEMS, NEWS COMMERCIAL BUILDINGS, EDUCATIONAL INSTITUTIONS IF LESS THAN\$50,000 INDICATE AMOUNT				
E. DID GROSS AMOUNT OF YOUR PURCHASES EQUAL OR E GOODS DIRECTLY FROM OUTSIDE THE STATE [] YES	EXCEED \$50,000 FRO [] NO	OM FIRMS WHICH IN TURN, PURCHASED THOS IF LESS THAN \$50,000 INDICATE AMOUNT		
F. GROSS REVENUE FROM ALL SALES OR PERFORMANCE []\$100,000 []\$200,000 []\$250,000 []\$500				
11. ARE YOU A MEMBER OF, OR PARTICIPATE IN, AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? [] YES [] NO (If yes, give Name and Address of association or group).				
 DID FIRM PERFORM NATIONAL DEFENSE WORK DURING THE (If Yes, amount of dollar volume and name(s) and address(es) for w 			\$	
13. PROVIDE NAME & TITLE OF YOUR REPRESENTATIVE BEST Q		FURTHER INFORMATION CONCERNING THE OF		
NAME	TITLE		TELEPHONE NUMBER	
		IVE COMPLETING THIS QUESTIONNAIRE	1	
NAME AND TITLE (Type or Print)	SIGNATURE		DATE	

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 *et seq.* The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

From: <u>Cendrosky, Sharlee</u>
To: <u>Fricke, Wade M.</u>

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Date: Tuesday, April 16, 2019 8:39:00 AM

Thank you, and handwritten is o.k.

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: Fricke, Wade M. <wade.fricke@ogletree.com>

Sent: Tuesday, April 16, 2019 8:21 AM

To: Cendrosky, Sharlee <Sharlee.Cendrosky@nlrb.gov>

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Here you go, Charlee.

Hope the handwritten version is okay!

Wade

Wade M. Fricke | Ogletree, Deakins, Nash, Smoak & Stewart, P.C.

Key Tower, 127 Public Square, Suite 4100 | Cleveland, OH 44114 | Telephone: 216-357-4732 | Fax: 216-357-4733

wade.fricke@ogletree.com | www.ogletree.com | Bio

From: Cendrosky, Sharlee < <u>Sharlee.Cendrosky@nlrb.gov</u>>

Sent: Thursday, April 11, 2019 10:30 AM

To: Fricke, Wade M. < <u>Wade.Fricke@ogletreedeakins.com</u>>

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Hi Wade,

I don't yet have a completed commerce questionnaire for this case. Did you send one in yet? If not, I have attached a blank one. I will need this asap but no later than Wednesday 4/16/19. thanks

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: Fricke, Wade M. <wade.fricke@ogletree.com>

Sent: Thursday, April 4, 2019 1:14 PM

To: Cendrosky, Sharlee < <u>Sharlee.Cendrosky@nlrb.gov</u>>

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Here is the Company's response. You will get a hard copy tomorrow – which will include the thicker personnel file.

Please let me know if you have any questions.

Thank you for your attention to this.

Wade

Wade M. Fricke | Ogletree, Deakins, Nash, Smoak & Stewart, P.C.

Key Tower, 127 Public Square, Suite 4100 | Cleveland, OH 44114 | Telephone: 216-357-4732 | Fax: 216-357-4733

wade.fricke@ogletree.com | www.ogletree.com | Bio

From: Cendrosky, Sharlee < Sharlee.Cendrosky@nlrb.gov>

Sent: Tuesday, March 19, 2019 11:33 AM

To: Fricke, Wade M. < <u>Wade.Fricke@ogletreedeakins.com</u>>

Subject: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Hello Mr. Fricke,

Please see the attached letter requesting a statement of position and documents in the above referenced case. Please note a deadline of April 4, 2019, to submit all of your evidence.

Thanks,

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892

Facsimile: 216-522-2418

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From: Cendrosky, Sharlee
To: d.q.phillips

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Date: Tuesday, April 16, 2019 12:09:00 PM

Yes, please let me know tomorrow.

Thank you

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: d.g.phillips <d.g.phillips@sbcglobal.net> **Sent:** Tuesday, April 16, 2019 11:59 AM

To: Cendrosky, Sharlee <Sharlee.Cendrosky@nlrb.gov>

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

I'm in mediation this afternoon I will speak to (b) (6), (b) (7)(C) this evening and try to get back to you sometime tomorrow

Sent from my Verizon Wireless 4G LTE smartphone

----- Original message -----

From: "Cendrosky, Sharlee" < Sharlee.Cendrosky@nlrb.gov>

Date: 2019/04/16 11:44 AM (GMT-05:00)

To: David Glenn Phillips < d.g.phillips@sbcglobal.net >

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Hi David,

Thank you for the follow up email. I will upload this email into the case file for (b) (6), (b) (7)(C) I need to make a recommendation on this case shortly. In that regard, it might be best for (b) (6), (b) (7)(C) to withdraw and then refile the charge to allow more time for to gather evidence related to disparate treatment as well as time for the

Employer to respond to that evidence.

You are correct, that there is a 6-month time frame under Section 10(b) for (b) (6), (b) (7)(C) to pursue claim. If wishes to withdraw the charge at this time, let me know and I can process request.

Thank you

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: David Glenn Phillips < d.g.phillips@sbcglobal.net>

Sent: Tuesday, April 16, 2019 10:26 AM

To: Cendrosky, Sharlee < Sharlee.Cendrosky@nlrb.gov>

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Ms. Cendrosky,

Ms. Cendrosky,

My client would like to make the following statements related to your April 10, 2019 email:

- (b) (6), (b) (7)(C) has not admitted using profane language in both incidents. In fact, does not even recall the first incident taking place and was told by Case's HR department that the matter was dismissed due to lack of evidence that was involved.
- In terms of evidence of disparate treatment i.e., instances where other officers have used profane language and not been disciplined my client knows that such occurred within the Case Police Department, and while has located no one who will provide corroboration (b) (6), (b) (7)(C) witnessed at least one occurrence discipline, (b) (6), (b) (7)(C) observed another officer, (b) (6), (b) (7)(C) use profanity with a woman driver who committed a traffic offense. This incident was known to Case (b) (6), (b) (7)(C), and as far as (b) (6), (b) (7)(C) knows no discipline was issued to Officer

We understand that evidence of disparate treatment is significant to (b) (6), (b) (7)(C) claim, and as such would like additional time to locate an additional individuals who could provide statements of such corroboration where discipline did not occur. But we also believe

that the timing of the discipline here – considering the facts leading up to the incident is significant in terms of the temporal proximity for a causal connection related to retaliation claim. See, Harrison v. Metro Govn't of Nashville, 80 F.3d 1107, 1118-19 (6th Cir. 1996); Ningard v. Shin-Etsu Silicones, 2009-Ohio-3171 17 (App. Summit Co. 2009). Given this, if more time cannot be provided for (b) (6), (b) (7)(c) to seek additional evidence of disparate treatment, then a withdrawal would be preferred so that could preserve claim. My understanding is that under 29 U.S.C. §160(b) could still pursue the claim within six months of 2019.

Please let me know your thoughts on what I have presented above.

David Glenn Phillips
Attorney at Law
The Brown Hoist Building
4403 St. Clair Avenue
Cleveland, Ohio 44103
(216) 531-0123
fax 216-881-3928
d.g.phillips@sbcglobal.net
civilrightslaw@sbcglobal.net

From: Cendrosky, Sharlee [mailto:Sharlee.Cendrosky@nlrb.gov]

Sent: Wednesday, April 10, 2019 12:45 PM

To: d.g.phillips@sbcglobal.net

Subject: Case Western Reserve University/ (b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Importance: High

Hi David,

I have a follow up question for you and (b) (6), (b) (7)(C). It would be great if you could respond to this questions/and or provide the evidence I am requesting **no later than**April 16th as I will be making my recommendation for this case at that time.

The Employer submits that it disciplined (b) (6), (b) (7)(C) for twice using profane language – once directed at (b) (6), (b) (7)(C) and the other at a driver-while (b) (6), (b) (7)(C) was on duty. The Employer further submits that while officers may use profane language without discipline when they are away from the public and amongst each other that is different than an officer swearing at a member of the public, a student or a professor while on duty. In that regard, does (b) (6), (b) (7)(C) have any evidence/knowledge of another officer who swore at a member of the public or (b) (6), (b) (7)(C) while on duty and was NOT disciplined? Although (b) (6), (b) (7)(C) testified that other employees have used profane language at work, that is not the same as evidence that other officers have sworn at (b) (6), (b) (7)(C) or the public

and were not issued discipline. In order to show disparate treatment and/or retaliation for union activity, (b) (6), (b) (7)(C) needs to present evidence that others committed the same infractions but were not disciplined because they were not union supporters.

Without this evidence, I will be making a recommendation of no-merit as (1) the discipline is not pre-textual- i.e. (b) (6), (b) (7)(C) has admitted to using some form of profane language in both incidents, and (2) there is no evidence of disparate treatment. *See Joseph Chevrolet, Inc.*, 343 NLRB 7, 16 (2004); *Consolidated Biscuit Co.*, 346 NLRB 1175, at 1186 (2006). Also, it is important to note that even if (b) (6), (b) (7)(C) could establish a prima facie case, an employer can overcome it by demonstrating it would have taken the adverse action in the absence of the protected activity. *See Wright Line*, 251 NLRB 1083 (1980).

Please let me know no later than April 16th if (b) (6), (b) (7)(C) has any evidence of disparate treatment. If does not, I will be making a recommendation to dismiss the case based on lack of merit.

In lieu of a dismissal recommendation – and a letter issuing to the Employer that our office dismissed the case- does have the option to withdrawal charge. If chooses to withdrawal the charge (prior to a final recommendation), (b) (6), (b) (7)(c) could refile the charge at a later date within the 10(b) period assuming discovered evidence of disparate treatment.

Please contact me should you have any questions.

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892

Facsimile: 216-522-2418

From: Fricke, Wade M.

To: Cendrosky, Sharlee

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Date: Tuesday, April 16, 2019 8:21:47 AM

Attachments: <u>doc20190416082423.pdf</u>

Here you go, Charlee.

Hope the handwritten version is okay!

Wade

Wade M. Fricke | Ogletree, Deakins, Nash, Smoak & Stewart, P.C.

Key Tower, 127 Public Square, Suite 4100 | Cleveland, OH 44114 | Telephone: 216-357-4732 | Fax: 216-357-4733

wade.fricke@ogletree.com | www.ogletree.com | Bio

From: Cendrosky, Sharlee <Sharlee.Cendrosky@nlrb.gov>

Sent: Thursday, April 11, 2019 10:30 AM

To: Fricke, Wade M. <Wade.Fricke@ogletreedeakins.com>

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Hi Wade,

I don't yet have a completed commerce questionnaire for this case. Did you send one in yet? If not, I have attached a blank one. I will need this asap but no later than Wednesday 4/16/19. thanks

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: Fricke, Wade M. < wade.fricke@ogletree.com>

Sent: Thursday, April 4, 2019 1:14 PM

To: Cendrosky, Sharlee < <u>Sharlee.Cendrosky@nlrb.gov</u>>

Subject: RE: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Here is the Company's response. You will get a hard copy tomorrow – which will include the

thicker personnel file.

Please let me know if you have any questions.

Thank you for your attention to this.

Wade

Wade M. Fricke | Ogletree, Deakins, Nash, Smoak & Stewart, P.C.

Key Tower, 127 Public Square, Suite 4100 | Cleveland, OH 44114 | Telephone: 216-357-4732 | Fax: 216-357-4733

wade.fricke@ogletree.com | www.ogletree.com | Bio

From: Cendrosky, Sharlee < Sharlee.Cendrosky@nlrb.gov>

Sent: Tuesday, March 19, 2019 11:33 AM

To: Fricke, Wade M. < <u>Wade.Fricke@ogletreedeakins.com</u>>

Subject: NLRB Charge 08-CA-237257 Case Western Reserve Division of Public Safety

Hello Mr. Fricke,

Please see the attached letter requesting a statement of position and documents in the above referenced case. Please note a deadline of April 4, 2019, to submit all of your evidence.

Thanks,

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892

Facsimile: 216-522-2418

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INTERNET FORM NLRB-5081 (2-08)

NATIONAL LABOR RELATIONS BOARD QUESTIONNAIRE ON COMMERCE INFORMATION

FORM EXEMPT UNDER 44 U.S.C. 3512

Please read carefully. Answer all applicable items and return to the Regional Office. If additional space is required, use plain bond paper and identify item number.				
CASE NAME	CASE NUMBER			
1. TYPE OF BUSINESS [CORPORATION [] PARTNERSHIP [] SOLE PROPRIETOR	SHIP			
2. CLASSIFICATION WHICH DESCRIBES YOUR BUSINESS				
[] WHOLESALING [] NEWSPAPER [] OFFICE OF INDUSTRIAL BUILDING [] HOSPITAL [] MANUFACTURING/PROCESSING [] TRUCKING [] PUBLIC UTILITY [] BROADCASTING STATION []	RETAIL SERVICE ORGANIZATION NURSING HOME			
3. EXACT LEGAL TITLE OF FIRM CASE WESTERN RESERVE UNIVERSITY				
4. IF A CORPORATION A. INCORPORATED IN STATE OF: 01+ B. NAME(s) AND ADDRESS(es) OF PARENT, SUBSIDIARY, OR RELATED CORPORATION, IF ANY, AND DESCRIBE RELATIONSHIP. **NAME(s) AND ADDRESS(es) OF PARENT, SUBSIDIARY, OR RELATED CORPORATION, IF ANY, AND DESCRIBE RELATIONSHIP. **NAME(s) AND ADDRESS(es) OF PARENT, SUBSIDIARY, OR RELATED CORPORATION, IF ANY, AND DESCRIBE RELATIONSHIP.				
5. IF A PARTNERSHIP				
FULL NAME AND COMPLETE ADDRESS OF ALL PARTNERS.				
N.A.				
6. IF A PROPRIETORSHIP FULL NAME AND COMPLETE ADDRESS OF PROPRIETOR.				
	y .			
7. BRIEFLY DESCRIBE THE NATURE OF YOUR BUSINESS (General products handled or manfactured, or nature of services performed).				
Higher Edvantion				
8. PRINCIPAL PLACE OF BUSINESS LOCATED AT: BRANCH(es) LOCATED AT:				
Cleveland, Uhio				
9. NUMBER OF PERSONNEL PRESENTLY EMPLOYED BY YOUR FIRM				
A. TOTAL 5000 B. AT THE ADDRESS INVOLVED IN THIS PROCEEDIN	G.			
10. DURING THE PAST X CALENDAR, [] FISCAL YEAR (If Fiscal Year indicate dates) OR [] LAST 12 MONTHS (Check approprise A. DID GROSS REVENUE FROM SALES OR PERFORMANCE OF SERVICES DIRECTLY TO CUSTOMERS OUTSIDE THE STATE	ate box):			
EXCEED \$50,000 [YES [] NO IF LESS THAN \$50,000 INDICATE AMOUNT	\$			
B. DID GROSS AMOUNT OF PURCHASES OF MATERIALS OR SERVICES DIRECTLY FROM OUTSIDE THE STATE EXCEED \$50,000 PYES [] NO IF LESS THAN \$50,000 INDICATE AMOUNT	\$			
C. DID GROSS REVENUE FROM YOUR SALES OR PERFORMANCE OF SERVICES EQUAL OR EXCEED \$50,000 TO FIRMS WHICH DIRECTLY MADE SALES TO CUSTOMERS OUTSIDE THE STATE AND/OR TO CUSTOMERS WHICH MADE				
PURCHASES FROM DIRECTLY OUTSIDE THE STATE [NO IF LESS THAN \$50,000 INDICATE AMOUNT	т \$			
D. IF THE ANSWER TO 10(c) IS NO, DID GROSS REVENUE FROM SALES OR PERFORMANCE OF SERVICES EQUAL OR EXCEED \$50,000 TO PUBLIC UTILITIES, TRANSIT SYSTEMS, NEWSPAPERS, HEALTH CARE INSTITUTIONS, BROADCASTING STATIONS COMMERCIAL BUILDINGS, EDUCATIONAL INSTITUTIONS AND/OR RETAIL CONCERNS [] YES [] NO	i, ,			
IF LESS THAN\$50,000 INDICATE AMOUNT	\$			
E. DID GROSS AMOUNT OF YOUR PURCHASES EQUATOR EXCEED \$50,000 FROM FIRMS WHICH IN TURN, PURCHASED THOSE GOODS DIRECTLY FROM OUTSIDE THE STATE NO IF LESS THAN \$50,000 INDICATE AMOUNT	N. As			
F. GROSS REVENUE FROM ALL SALES OR PERFORMANCE OF SERVICES (Check largest amount which firm equaled or exceeded): []\$100,000 []\$200,000 []\$250,000 []\$500,000 []\$51,000,000 IF LESS THAN \$100,000 INDICATE AMOUNT	\$			
11. ARE YOU A MEMBER OF, OR PARTICIPATE IN, AN ASSOCIATION OR OTHER EMPLOYER GROUP THAT ENGAGES IN COLLECTIVE BARGAINING? [] YES NO (If yes, give Name and Address of association or group).				
12. DID FIRM PERFORM NATIONAL DEFENSE WORK DURING THE PERIOD INDICATED IN 10 ABOVE? (If Yes, amount of dollar volume and name(s) and address(es) for whom work was performed).	\$			
13. PROVIDE NAME & TITLE OF YOUR REPRESENTATIVE BEST QUALIFIED TO GIVE FURTHER INFORMATION CONCERNING THE OPERATIONS OF YOUR BUSINESS				
NAME PETER POULS TITLE GENERAL COUNSEL	TELEPHONE NUMBER 216 368 0661			
SIGNATURE OR AUTHORIZED REPRESENTATIVE COMPLETING THIS QUESTIONNAIRE				
NAME AND TITLE (Type or Print) SIGNATURE	DATE			
PETER POULOS	4/16/19			

PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing representation and/or unfair labor practice proceedings and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary. However, failure to supply the information may cause the NLRB to refuse to process any further a representation or unfair labor practice case, or may cause the NLRB to issue you a subpoena and seek enforcement of the subpoena in federal court.

From: <u>David Glenn Phillips</u>
To: <u>Cendrosky, Sharlee</u>

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Date: Thursday, April 18, 2019 11:46:56 AM

Ms. Cendrosky,

I'm sorry I just got back to my office today. My client will agree to withdraw claim so that locate additional evidence of disparate treatment.

David Glenn Phillips
Attorney at Law
The Brown Hoist Building
4403 St. Clair Avenue
Cleveland, Ohio 44103
(216) 531-0123
fax 216-881-3928
d.g.phillips@sbcglobal.net
civilrightslaw@sbcglobal.net

From: Cendrosky, Sharlee [mailto:Sharlee.Cendrosky@nlrb.gov]

Sent: Thursday, April 18, 2019 10:15 AM

To: David Glenn Phillips

Subject: RE: Case Western Reserve University/ (b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Please let me know as soon as you can this morning- I am holding off on sending my recommendation but I will need to make it by noon today.

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: David Glenn Phillips <d.g.phillips@sbcglobal.net>

Sent: Wednesday, April 17, 2019 3:44 PM

To: Cendrosky, Sharlee <Sharlee.Cendrosky@nlrb.gov>

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

David Glenn Phillips
Attorney at Law
The Brown Hoist Building
4403 St. Clair Avenue
Cleveland, Ohio 44103
(216) 531-0123
fax 216-881-3928
d.g.phillips@sbcglobal.net
civilrightslaw@sbcglobal.net

From: Cendrosky, Sharlee [mailto:Sharlee.Cendrosky@nlrb.gov]

Sent: Wednesday, April 17, 2019 12:53 PM

To: d.g.phillips

Subject: RE: Case Western Reserve University/ (b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Any update?

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: d.g.phillips < <u>d.g.phillips@sbcglobal.net</u>>

Sent: Tuesday, April 16, 2019 11:59 AM

To: Cendrosky, Sharlee < Sharlee.Cendrosky@nlrb.gov>

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

I'm in mediation this afternoon I will speak to (b) (6), (b) (7)(C) this evening and try to get back to you sometime tomorrow

----- Original message -----

From: "Cendrosky, Sharlee" < Sharlee.Cendrosky@nlrb.gov>

Date: 2019/04/16 11:44 AM (GMT-05:00)

To: David Glenn Phillips < d.g.phillips@sbcglobal.net >

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Hi David,

Thank you for the follow up email. I will upload this email into the case file for (b) (6). (b) (7)(C) I need to make a recommendation on this case shortly. In that regard, it might be best for (b) (6), (b) (7)(C) to withdraw and then refile the charge to allow more time for to gather evidence related to disparate treatment as well as time for the Employer to respond to that evidence.

You are correct, that there is a 6-month time frame under Section 10(b) for (b) (6), (b) (7)(C) to pursue claim. If wishes to withdraw the charge at this time, let me know and I can process request.

Thank you

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

Direct Dial: 216-303-7374

Cell: 202-664-9892 Facsimile: 216-522-2418

From: David Glenn Phillips <<u>d.g.phillips@sbcglobal.net</u>>

Sent: Tuesday, April 16, 2019 10:26 AM

To: Cendrosky, Sharlee < <u>Sharlee.Cendrosky@nlrb.gov</u>>

Subject: RE: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Ms. Cendrosky,

Ms. Cendrosky,

My client would like to make the following statements related to your April 10, 2019 email:

• (b) (6), (b) (7)(C) has not admitted using profane language in both incidents. In fact, does not even recall the first incident taking place and was told by Case's HR

department that the matter was dismissed due to lack of evidence that was involved

• In terms of evidence of disparate treatment – i.e., instances where other officers have used profane language and not been disciplined – my client knows that such occurred within the Case Police Department, and while has located no one who will provide corroboration – (b) (6), (b) (7)(C) witnessed at least one occurrence discipline, (b) (6), (b) (7)(C) observed another officer, (b) (6), (b) (7)(C), use profanity with a woman driver who committed a traffic offense. This incident was known to Case (b) (6), (b) (7)(C), and as far as (b) (6), (b) (7)(C) knows – no discipline was issued to Officer

We understand that evidence of disparate treatment is significant to (b) (6), (b) (7)(C) claim, and as such would like additional time to locate an additional individuals who could provide statements of such corroboration where discipline did not occur. But we also believe that the timing of the discipline here – considering the facts leading up to the incident is significant in terms of the temporal proximity for a causal connection related to retaliation claim. See, Harrison v. Metro Govn't of Nashville, 80 F.3d 1107, 1118-19 (6th Cir. 1996); Ningard v. Shin-Etsu Silicones, 2009-Ohio-3171 17 (App. Summit Co. 2009). Given this, if more time cannot be provided for (b) (6), (b) (7)(C) to seek additional evidence of disparate treatment, then a withdrawal would be preferred so that could preserve that under 29 U.S.C. §160(b) could still pursue the claim within six months of

Please let me know your thoughts on what I have presented above.

David Glenn Phillips
Attorney at Law
The Brown Hoist Building
4403 St. Clair Avenue
Cleveland, Ohio 44103
(216) 531-0123
fax 216-881-3928
d.g.phillips@sbcglobal.net
civilrightslaw@sbcglobal.net

From: Cendrosky, Sharlee [mailto:Sharlee.Cendrosky@nlrb.gov]

Sent: Wednesday, April 10, 2019 12:45 PM

To: d.a.phillips@sbcalobal.net

Subject: Case Western Reserve University/(b) (6), (b) (7)(C) NLRB Case 08-CA-237257

Importance: High

Hi David,

I have a follow up question for you and (b) (6), (b) (7)(C). It would be great if you could respond to this questions/and or provide the evidence I am requesting **no later than**

April 16th as I will be making my recommendation for this case at that time.

The Employer submits that it disciplined (b)(6), (b)(7)(C) for twice using profane language – once directed at (b)(6), (b)(7)(C) and the other at a (driver- while (b)(6), (b)(7)(C) was on duty. The Employer further submits that while officers may use profane language without discipline when they are away from the public and amongst each other that is different than an officer swearing at a member of the public, a student or a professor while on duty. In that regard, does (b)(6), (b)(7)(C) have any evidence/knowledge of another officer who swore at a member of the public or (b)(6), (b)(7)(C) while on duty and was NOT disciplined? Although (b)(6), (b)(7)(C) testified that other employees have used profane language at work, that is not the same as evidence that other officers have sworn at (b)(6), (b)(7)(C) or the public and were not issued discipline. In order to show disparate treatment and/or retaliation for union activity, (b)(6), (b)(7)(C) needs to present evidence that others committed the same infractions but were not disciplined because they were not union supporters.

Without this evidence, I will be making a recommendation of no-merit as (1) the discipline is not pre-textual- i.e. (b) (6), (b) (7)(C) has admitted to using some form of profane language in both incidents, and (2) there is no evidence of disparate treatment. See Joseph Chevrolet, Inc., 343 NLRB 7, 16 (2004); Consolidated Biscuit Co., 346 NLRB 1175, at 1186 (2006). Also, it is important to note that even if (b) (6), (b) (7)(C) could establish a prima facie case, an employer can overcome it by demonstrating it would have taken the adverse action in the absence of the protected activity. See Wright Line, 251 NLRB 1083 (1980).

Please let me know no later than April 16th if (b) (6), (b) (7)(C) has any evidence of disparate treatment. If does not, I will be making a recommendation to dismiss the case based on lack of merit.

In lieu of a dismissal recommendation – and a letter issuing to the Employer that our office dismissed the case- does have the option to withdrawal charge. If chooses to withdrawal the charge (prior to a final recommendation), (b) (6), (b) (7)(C) could refile the charge at a later date within the 10(b) period assuming discovered evidence of disparate treatment.

Please contact me should you have any questions.

Sharlee Cendrosky, Field Attorney National Labor Relations Board, Region 8 Anthony J. Celebrezze Federal Building 1240 East Ninth Street, Room 1695 Cleveland, Ohio 44199

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